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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/663,295

Filing Date September 16, 2003

First Named Inventor Zhuomin Ding et al.

Group Art Unit Unknown

Examiner Name Unknown

Total Number of Pages in This Submission

Attorney Docket Number SO-0004 US NA

### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

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☒ After Allowance Communication  
to Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please  
identify below):

Copies of references cited in  
Information Disclosure Statement  
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Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Steven H. Markowitz, Registration No. 27,095

Signature

Date

December 18, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: December 18, 2003

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Janet E. Abbott

Signature

Date

December 18, 2003

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Zhuomin Ding et al.

Group Art Unit: Unknown

Appl. No.: 10/663,295

Examiner: Unknown

Filed: September 16, 2003

Attorney Docket No.: SO-0004 US NA

For: SPIN ANNEALED POLY(TRIMETHYLENE TEREPHTHALATE) YARN

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Forms PTO/SB08a and PTO/SB08b are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each of these documents is provided.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

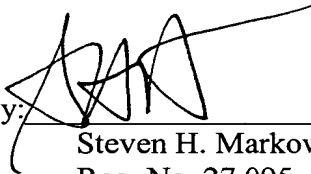
This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested

not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

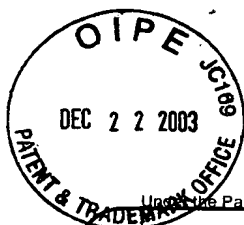
It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO/SB/08a and PTO/SB/08 forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 04-1928. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

By:   
Steven H. Markowitz  
Reg. No. 27,095

Date: December 18, 2003  
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Wilmington, DE 19899-0951  
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PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet \_\_\_\_\_ of \_\_\_\_\_

**Complete if Known**

Application Number	10/663,295
Filing Date	September 16, 2003
First Named Inventor	Zhuomin Ding
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	SO-0004 US NA

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3,671,379	06-20-1972	Evans et al.	
		US- 4,001,190	01-04-1977	Tanikella et al.	
		US- 5,340,909	08-23-1994	Doerr et al.	
		US- 5,798,433	08-25-1998	Schmidt et al.	
		US- 6,153,679	11-28-2000	Kawaji et al.	
		US- 6,245,844	06-12-2001	Kurian et al.	
		US- 6,312,805	11-06-2001	Yanhui Sun	
		US- 6,331,264	12-18-2001	Kurian et al.	
		US- 6,399,194	06-04-2002	Kunisada et al.	
		US- 6,576,340	06-10-2003	Sun et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0 699 700 A2, A3	03-06-1996	Peter Klein		
		EP 1172467	01-16-2002	Abe Takao	Abstract Only	
		EP1209262	05-29-2002	Kato Jinichiro	Abstract Only	
		EP1285876	02-26-2003	Yamashita Akira	Abstract Only	
		JP50-71921	06-14-1975	Teijin	English Abstract Attached	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Form PT-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.  
**SO-0004 US NA**Application No.  
**10/663,295**Filing Date: **September 10, 2003**

First Named Inventor:

**Zhuomin Ding**Examiner Name: **Unknown**Art Group Unit: **Unknown****INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT***(Use several sheets if necessary)***FOREIGN PATENT DOCUMENTS**

Examiner Initial	Cite No.	Office	Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Cols., Lines where, Relevant Passages Appear	T
		JP	51-47114	A1	Teijin LTD	04-22-1976	English Abstract attached	
		JP	58-31114	A1	Teijin LTD	02-23-1983	English Abstract attached	
		JP	2000239921	A1	Unitika LTD	09-05-2000	English Abstract attached	
		JP	2001020136		Asahi Chem Ind. Co. LTD	01-23-2001	English Abstract attached	
		JP	2001064824		Toray Ind. Inc.	03-13-2001	English Abstract attached	
		JP	2001214372		Asahi Kasei Corp.	08-07-2001	English Abstract attached	
		JP	2001254226		Asahi Kasei Corp.	09-21-2001	English Abstract attached	
		JP	2001279528		Teijin LTD	10-10-2001	English Abstract attached	
		JP	2001348731		Asahi Kasei Corp.	12-21-2001	English Abstract attached	
		JP	2002061038		Asahi Kasei Corp.	02-28-2002	English Abstract attached	
		JP	2002129427		Asahi Kasei Corp.	05-09-2002	English Abstract attached	
		WO	00/26301		Asahi Kasei Corp.	05-11-2000		
		WO	01/85590	A1	Asahi Kasei Corp.	11-15-2001		

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	DATE CONSIDERED	

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